

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



March 10, 2022

Jeffrey T. Linam  
Vice President of Rates & Regulatory  
California-American Water Company  
4701 Beloit Drive  
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Water Division of the California Public Utilities Commission has approved California-American Water Company's Advice Letter No. 70-S, filed on January 18, 2022, regarding 2022 Step Rate for the Dunnigan Wastewater district.

Enclosed are copies of the following revised tariff sheets, effective March 4, 2022, for the utility's files:

<b>P.U.C. Sheet No.</b>	<b>Title of Sheet</b>
323-S	Schedule WW-DU-1, Dunnigan District Sewer Tariff Area General Flat Rate Service, Sheet 1
324-S	Schedule No. WW-CAP, All Wastewater Services Customer Assistance Program, Sheet 2
325-S	Schedule No. WW-CAP, All Wastewater Services Customer Assistance Program, Sheet 3
326-S	Schedule No. WW-CAP, All Wastewater Services Customer Assistance Program, Sheet 4
327-S	Schedule No. WW-CAP, All Wastewater Services Customer Assistance Program, Sheet 5
328-S	Schedule No. WW-CAP, All Wastewater Services Customer Assistance Program, Sheet 6
329-S	Table Of Contents, Sheet 2
330-S	Table Of Contents, Sheet 1
Cancel	312-315-S

Please contact Bradley Leong at [BL4@cpuc.ca.gov](mailto:BL4@cpuc.ca.gov) or 415-703-2307, if you have any questions.

Thank you.

Enclosure





4701 Beloit Drive  
Sacramento, CA 95838  
[www.amwater.com](http://www.amwater.com)

P (916)-568-4251  
F (916) 568-4260

January 18, 2022

ADVICE LETTER NO. 70-S

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Northern Division.

**Purpose:**

The purpose of this advice letter filing is to request approval of the 2022 Escalation Year rates for the Northern Division in accordance with Decision (D.) 21-11-018. This advice letter requests implemented rates for escalation year 2022 be made effective and implemented in accordance with California Public Utilities Commission (Commission) Decision (D.) 21-11-018.

Additionally, this filing provides the updated Customer Assistance Program (CAP) surcharge contains on Schedule WW-FEEs applicable to all service areas. It also provides updated Consolidated Expense Balancing Account (CEBA) surcharges for each service area.

**Background:**

Submission of these tariffs is made in compliance with Ordering Paragraphs 3, 4, and 5 of D.21-11-018, dated November 18, 2021, which states:

3. *No later than 30 days following the issuance of this Decision, California American Water Company (Cal-Am) shall file its Tier 1 General Rate Case implementation advice letter, including updated tariffs as necessary to reflect all of the agreements related to capital expenditures and projects in the Los Angeles County, San Diego County, Ventura County, Central Division, Monterey County Wastewater, Sacramento County, and Larkfield Districts reached between the Public Advocates Office of the California Public Utilities Commission; the Cities of Duarte, San Marino, and Thousand Oaks; the Las Palmas Wastewater Committee; the Monterey Peninsula Water Management District; and Cal-Am. Given the timing of the issuance of the decision, the 2021 authorized rates and tariff changes shall be implemented concurrently with California American Water's escalation filing for attrition year 2022.*
4. *California-American Water Company (Cal-Am) is authorized to revise tariff schedules and to concurrently cancel its present schedules for such service upon the effective date of its 2022 escalation filing. The revision of tariff schedules for authorized rates in 2021 shall be included and subsumed in Cal-Am's escalation filing for attrition year 2022.*

5. *Decision 07-05-062 requires escalation filings to be filed no later than 45 days prior to the start of the escalation year. In light of the effective date of this decision, California-American Water Company shall submit its 2022 escalation advice letters within 60 days from the effective date of this decision. The 2022 escalation advice letters shall be effective 45 days from the date of filing.*

California American Water filed Advice Letter 1353 in compliance with the first part of ordering paragraph three above. Through this filing, California American Water complies with ordering paragraphs 3, 4, and 5 above.

**Request:**

This advice letter filing is to request implementation of the Northern Division, including the Dunnigan Wastewater Service Area, 2022 Escalation Year increase.

As shown the earnings test and workpapers for the twelve months ended September 30, 2021, the Northern Division earned a rate of return of 4.71% on a recorded basis and 7.63% on a “pro forma” basis. The most recent authorized rate of return per D.18-03-035 was 7.61% adjusted to 7.81% to match the designated pro forma period.

In compliance with D.21-11-018, California American Water requests approval of all submitted tariff revisions. Additionally, California American Water requests that all tariffs included in Advice Letter 1353, which are not included in California American Water’s 2022 Escalation Filings, be made effective upon the effective date of this filing.

**Tier Designation:**

This advice letter is submitted pursuant to General Order No. 96-B, D.21-11-018 and is designated as a Tier 1 filing.

**Effective Date:**

California American requests an effective date of March 4, 2022.

**RESPONSE OR PROTEST**<sup>1</sup>

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

- (1) The utility did not properly serve or give notice of the AL;
- (2) The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the AL contain material error or omissions;
- (4) The relief requested in the AL is pending before the Commission in a formal proceeding; or

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<sup>1</sup> G.O. 96-B, General Rule 7.4.1

<sup>2</sup> G.O. 96-B, General Rule 7.4.2

- (5) The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or  
(6) The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

<b>Email Address:</b> <a href="mailto:Water.Division@cpuc.ca.gov">Water.Division@cpuc.ca.gov</a>	<b>Mailing Address:</b> CA Public Utilities Commission Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102
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On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to Cal-Am at:

<b>Email Address:</b> <a href="mailto:Kamilah.Jones@amwater.com">Kamilah.Jones@amwater.com</a>	<b>Mailing Address:</b> 4701 Beloit Drive Sacramento, CA 95838
<a href="mailto:Sarah.Leeper@amwater.com">Sarah.Leeper@amwater.com</a>	555 Montgomery Street, Suite 816 San Francisco, CA 94111
<a href="mailto:Jonathan.Morse@amwater.com">Jonathan.Morse@amwater.com</a>	4701 Beloit Drive Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

### **REPLIES**<sup>3</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

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<sup>3</sup> G.O. 96-B, General Rule 7.4.3

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

If you have not received a reply to your protest within 10 business days, please contact Kamilah Jones at (916) 568-4232.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Jeffrey T. Linam*

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Jeffrey T. Linam  
Vice President of Rates & Regulatory

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
323-W	Schedule WW-DU-1 Dunnigan District Sewer Tariff Area GENERAL FLAT RATE SERVICE Sheet 1	309-S
324-S	Schedule No. WW-CAP All Wastewater Services CUSTOMER ASSISTANCE PROGRAM Sheet 2	
325-S	Schedule No. WW-CAP All Wastewater Services CUSTOMER ASSISTANCE PROGRAM Sheet 3	
326-S	Schedule No. WW-CAP All Wastewater Services CUSTOMER ASSISTANCE PROGRAM Sheet 4	
327-S	Schedule No. WW-CAP All Wastewater Services CUSTOMER ASSISTANCE PROGRAM Sheet 5	
328-S	Schedule No. WW-CAP All Wastewater Services CUSTOMER ASSISTANCE PROGRAM Sheet 6	
329-S	TABLE OF CONTENTS Sheet 2	
330-S	TABLE OF CONTENTS Sheet 1	322-S
DELETE	Schedule No. WW-CAP Monterey County Sewer Service CUSTOMER ASSISTANCE PROGRAM Sheet 2	312-S
DELETE	Schedule No. WW-CAP Monterey County Sewer Service CUSTOMER ASSISTANCE PROGRAM Sheet 3	313-S
DELETE	Schedule No. WW-CAP Monterey County Sewer Service CUSTOMER ASSISTANCE PROGRAM Sheet 4	314-S

**Cal P.U.C.  
Sheet No.**

**Title of Sheet**

**Cancelling  
Cal P.U.C.  
Sheet No.**

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DELETE

Schedule No. WW-CAP  
Monterey County Sewer Service  
CUSTOMER ASSISTANCE PROGRAM  
Sheet 5

315-S



Schedule WW-DU-1  
Dunnigan District Sewer Tariff Area  
GENERAL FLAT RATE SERVICE

Sheet 1

**APPLICABILITY**

Applicable to all sewer service.

**TERRITORY**

Dunnigan, along both sides of Interstate 5, between County Roads 2 on the north and County Road 9 on the south, Yolo County.

**RATES**

Per Service Connection

Per Month

For each Mobile Home residential unit  
For each Recreational Vehicle unit  
For Commercial Business Unit

\$37.93  
\$37.93  
\$37.93

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(l)

**SPECIAL CONDITIONS**

General Items

1. Qualifying customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the Customer Assistance Program. For additional details, please see Tariff Schedule WW-CAP.
1. In accordance with Section 2714 of the Public Utilities Code and Commission Resolution No. 3976, if a tenant in a rental unit leaves owing the utility, service to subsequent tenants in that unit will, at the Utility's option, be furnished on the account of the landlord or property owner.

Fees and Surcharges

1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. A late charge will be imposed per Schedule WW-FEES.
3. Bills will be rendered in advance of the period for which service will be provided.
4. A surcharge for the Customer Assistance Program (CAP) program is applied to each California American Water customer bill. Please refer to tariff WW-FEES for charges and details.
5. Per D.18-12-021 a meter-based bill credit for the 2020 Excess Plant Accumulated Deferred Income Tax a credit of \$0.13 per month will be refunded to customers over the 12-month period beginning November 1, 2021.

(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
Advice	70-S	J. T. LINAM	Date Filed	<u>01/18/2022</u>
Decision		DIRECTOR - Rates & Regulatory	Effective	<u>03/04/2022</u>
			Resolution	<u>                    </u>

655 W. Broadway, Suite 1410

San Diego, CA 92101

Schedule No. WW-CAP  
All Wastewater Services  
CUSTOMER ASSISTANCE PROGRAM

Sheet 2

**APPLICABILITY**

Applicable to Dunnigan Wastewater service.

**TERRITORY**

Dunnigan, along both sides of Interstate 5, between County Roads 2 on the north and County Road 9 on the south, Yolo County.

**RATES**

	<u>Per Service Connection</u>
	<u>Per Month</u>
For each Mobile Home residential unit	\$30.35
For each Recreational Vehicle unit	\$30.35

(N)

(N)

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 70-S

J. T. LINAM

Date Filed 01/18/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 03/04/2022

Resolution \_\_\_\_\_

Schedule No. WW-CAP  
All Wastewater Services  
CUSTOMER ASSISTANCE PROGRAM

Sheet 3

SPECIAL CONDITIONS APPLICABLE TO CUSTOMER ASSISTANCE PROGRAM:

(L)

General Items:

1. Customer Assistance Program (CAP): As reflected in this tariff, qualifying customers receive a surcredit, as noted above, per month per qualifying residential customer. Customers must apply with the Company for acceptance into the Customer Assistance program. Qualification criteria are outlined below. This program is also known as the H2O Help to Others Program.
  - a. CAP Household: A CAP Household is a household where the total gross income from all sources, including total income from all persons living full-time in the household is less than shown on the table below based on the number of persons in the household. Total gross income shall include both taxable and non-taxable income. Persons who are claimed as a dependent on another person's income tax return are not eligible for this program. The California American Water bill must be in the customer's name.

Household Size	CARE & Energy Savings Assistance Program (CAP)
1-2	\$34,840
3	\$43,920
4	\$53,000
5	\$62,080
6	\$71,160
7	\$80,160
8	\$89,320
Each Additional person	\$9,080
Household Size	CARE & Energy Savings Assistance Program (CAP)

- b. Application and Eligibility Declaration: An application and eligibility declaration on a form authorized by the Commission is required for each request for service under this schedule. Renewal of a customer's eligibility declaration will be required every two years and may be required on an annual basis. Customers are only eligible to receive service under this rate schedule at one residential location at any one time, and the rate applies only to the customer's permanent primary residence. This schedule is not applicable where, in the opinion of the Company, either the accommodation or the occupancy is transitory. Customers may self-certify and may be requested to present documentation verifying participation in a customer assistance program.
  - c. Commencement of Rate: Eligible customers shall be billed on this schedule commencing no later than one billing period after receipt and approval of the customer's application by the Company.

(L)

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 70-S

J. T. LINAM

Date Filed 01/18/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 03/04/2022

Resolution \_\_\_\_\_







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No. 2	Customer's Deposit Receipt	50-S	(L)
No. 3	Bill for Service	51-S, 52-S	(L)
No. 4	Main Extension Contract	53-S, 54-S	(L)
No. 5	Main Extension Contract (Individuals)	55-S	(L)

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Sheet 1

The following listed tariff sheets contain all effective rates and rules affecting the charges and service of the utility, together with other pertinent information:

<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>	
<u>RATE SCHEDULES</u> (Continued):		
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Schedule No. SP – Passive Sewer Service	319-S, 308-W, 300-S	
Schedule No. UF	212-S	
Schedule No. WW-CAP	320-S, 324-S, 325-S, 326-S, 327-S, 328-S	(D) (N) (N)
Schedule No. WW-DU	323-S, 301-S	(C)
Schedule No. WW-Fees	321-S	
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No. 3 Application for Service	14-S	
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No. 16 Service Connections, Meters, and Customer's Facilities	36-S	
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(Continued)

(TO BE INSERTED BY UTILITY)

Advice 70-S  
Decision

ISSUED BY

J. T. LINAM  
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 01/18/2022  
Effective 03/04/2022  
Resolution \_\_\_\_\_



**SACRAMENTO DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 70-S**

**BY MAIL:**

	Walt Shannon 8356 Auberry Drive Sacramento, CA 95828	Robert A. Ryan, Jr. County of Sacramento Downtown Office 700 H Street, Suite 2650 Sacramento, CA 95814 Mark Norris County Clerk-Recorder County of Sacramento 600 8th Street Sacramento, CA 95814
Carol Smith 6241 Cavan Drive, 3 Citrus Heights, CA 95621	Sacramento County WMD 827 7th Street, Room 301 Sacramento, CA 95814	
Steven J. Thompson 5224 Altana Way Sacramento, CA 95841	Fruitridge Vista Water Company P.O. Box 959 Sacramento, CA 95812	Robert C. Baptiste 9397 Tucumcari Way Sacramento, CA 95827-1045
Anthony La Bouff, County Counsel Placer County 175 Fulweiler Avenue Auburn, CA 95603	Rio Linda Water District 730 L Street Rio Linda, CA 95673	
	Richard Rauschmeier California Public Utilities Commission DRA - Water Branch, Rm 4209 505 Van Ness Ave San Francisco, CA 94102 rra@cpuc.ca.gov	Heather Hernandez Sacramento Suburban Water District 3701 Marconi Avenue, Suite 100 Sacramento, CA 95821-5303 HHernandez@sswd.org
<b><u>BY E-MAIL:</u></b>		
Division of Ratepayer Advocates California Public Utilities Commission dra_water_al@cpuc.ca.gov	City of Sacramento, Water Division 1391 35th Avenue Sacramento, CA 95822 dsherry@cityofsacramento.com	Marvin Philo 3021 Nikol Street Sacramento, CA 95826 mhphilo@aol.com
Citrus Heights Water District 6230 Sylvan Road Citrus Heights, CA 95610 rchurch@chwd.org	Amy Van, City Clerk City of Citrus Heights 6237 Fountain Square Drive Citrus Heights, CA 95621 avan@citrusheights.net	Jim McCauley, Clerk-Recorder Placer County 2954 Richardson Drive Auburn, CA 95603 skasza@placer.ca.gov
Florin County Water District P.O. Box 292055 Sacramento, CA 95829 fcwd@sbcglobal.net	Linda Garcia, City Clerk City of Isleton P.O. Box 716 Isleton, CA 95641 lgarcia@cityofisleton.com	Placer County Water Agency Customer Service Department customerservices@pcwa.net