

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



March 10, 2022

Jeffrey T. Linam  
Vice President of Rates & Regulatory  
California-American Water Company  
4701 Beloit Drive  
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Water Division of the California Public Utilities Commission has approved California-American Water Company's Advice Letter No. 69-S, filed on January 18, 2022, regarding 2022 Step Rate for the Monterey Wastewater district.

Enclosed are copies of the following revised tariff sheets, effective March 4, 2022, for the utility's files:

<b>P.U.C. Sheet No.</b>	<b>Title of Sheet</b>
317-S	Schedule SA, Monterey Waste Water District Tariff Area Active Sewer Service, Sheet 1
318-S	Schedule SA, Monterey Waste Water District Tariff Area Active Sewer Service, Sheet 3
319-S	Schedule SP, Monterey Waste Water District Tariff Area Passive Sewer Service, Sheet 1
320-S	Schedule No. WW-CAP, All Wastewater Services Customer Assistance Program, Sheet 1
321-S	Schedule No. WW-FEES, Wastewater Service Late Payment Fees, Reconnection Fees, And Facilities Fees Sheet 1
322-S	Table Of Contents, Sheet 1
Cancel	311-S

Please contact Bradley Leong at [BL4@cpuc.ca.gov](mailto:BL4@cpuc.ca.gov) or 415-703-2307, if you have any questions.

Thank you.

Enclosures





4701 Beloit Drive  
Sacramento, CA 95838  
[www.amwater.com](http://www.amwater.com)

P (916)-568-4251  
F (916) 568-4260

January 18, 2022

ADVICE LETTER NO. 69-S

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Monterey Wastewater Service Area.

**Purpose:**

The purpose of this advice letter filing is to request approval of the 2022 Escalation Year rates for the Monterey Wastewater Service Area in accordance with Decision (D.) 21-11-018. This advice letter requests implemented rates for escalation year 2022 be made effective and implemented in accordance with California Public Utilities Commission (Commission) Decision (D.) 21-11-018.

Additionally, this filing provides the updated Customer Assistance Program (CAP) surcharge contains on Schedule WW-FEEs applicable to all service areas. It also provides updated Consolidated Expense Balancing Account (CEBA) surcharges for each service area.

**Background:**

Submission of these tariffs is made in compliance with Ordering Paragraphs 3, 4, and 5 of D.21-11-018, dated November 18, 2021, which states:

3. *No later than 30 days following the issuance of this Decision, California American Water Company (Cal-Am) shall file its Tier 1 General Rate Case implementation advice letter, including updated tariffs as necessary to reflect all of the agreements related to capital expenditures and projects in the Los Angeles County, San Diego County, Ventura County, Central Division, Monterey County Wastewater, Sacramento County, and Larkfield Districts reached between the Public Advocates Office of the California Public Utilities Commission; the Cities of Duarte, San Marino, and Thousand Oaks; the Las Palmas Wastewater Committee; the Monterey Peninsula Water Management District; and Cal-Am. Given the timing of the issuance of the decision, the 2021 authorized rates and tariff changes shall be implemented concurrently with California American Water's escalation filing for attrition year 2022.*
4. *California-American Water Company (Cal-Am) is authorized to revise tariff schedules and to concurrently cancel its present schedules for such service upon the effective date of its 2022 escalation filing. The revision of tariff schedules for*

*authorized rates in 2021 shall be included and subsumed in Cal-Am's escalation filing for attrition year 2022.*

- 5. Decision 07-05-062 requires escalation filings to be filed no later than 45 days prior to the start of the escalation year. In light of the effective date of this decision, California-American Water Company shall submit its 2022 escalation advice letters within 60 days from the effective date of this decision. The 2022 escalation advice letters shall be effective 45 days from the date of filing.*

California American Water filed Advice Letter 1353 in compliance with the first part of ordering paragraph three above. Through this filing, California American Water complies with ordering paragraphs 3, 4, and 5 above.

**Request:**

This advice letter filing is to request implementation of the Monterey Wastewater Service Area, including Active and Passive Systems, 2022 Escalation Year increase.

As shown the earnings test and workpapers for the twelve months ended September 30, 2021, the Monterey Wastewater Service Area earned a rate of return of -7.69% on a recorded basis and 4.03% on a "pro forma" basis. The most recent authorized rate of return per D.18-03-035 was 7.61% adjusted to 8.12% to match the designated pro forma period.

In compliance with D.21-11-018, California American Water requests approval of all submitted tariff revisions. Additionally, California American Water requests that all tariffs included in Advice Letter 1353, which are not included in California American Water's 2022 Escalation Filings, be made effective upon the effective date of this filing.

**Tier Designation:**

This advice letter is submitted pursuant to General Order No. 96-B, D.21-11-018 and is designated as a Tier 1 filing.

**Effective Date:**

California American requests an effective date of March 4, 2022.

**RESPONSE OR PROTEST<sup>1</sup>**

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

- (1) The utility did not properly serve or give notice of the AL;
- (2) The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;

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<sup>1</sup> G.O. 96-B, General Rule 7.4.1

<sup>2</sup> G.O. 96-B, General Rule 7.4.2

- (3) The analysis, calculations, or data in the AL contain material error or omissions;
- (4) The relief requested in the AL is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- (6) The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

<b>Email Address:</b> <a href="mailto:Water.Division@cpuc.ca.gov">Water.Division@cpuc.ca.gov</a>	<b>Mailing Address:</b> CA Public Utilities Commission Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102
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On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to Cal-Am at:

<b>Email Address:</b> <a href="mailto:Kamilah.Jones@amwater.com">Kamilah.Jones@amwater.com</a>	<b>Mailing Address:</b> 4701 Beloit Drive Sacramento, CA 95838
<a href="mailto:Sarah.Leeper@amwater.com">Sarah.Leeper@amwater.com</a>	555 Montgomery Street, Suite 816 San Francisco, CA 94111
<a href="mailto:Jonathan.Morse@amwater.com">Jonathan.Morse@amwater.com</a>	4701 Beloit Drive Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

### **REPLIES**<sup>3</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

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<sup>3</sup> G.O. 96-B, General Rule 7.4.3

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

If you have not received a reply to your protest within 10 business days, please contact Kamilah Jones at (916) 568-4232.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Jeffrey T. Linam*

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Jeffrey T. Linam  
Vice President of Rates & Regulatory

<b>Cal P.U.C. Sheet No.</b>	<b>Title of Sheet</b>	<b>Cancelling Cal P.U.C. Sheet No.</b>
317-S	Schedule SA Monterey Waste Water District Tariff Area ACTIVE SEWER SERVICE Sheet 1	303-S
318-S	Schedule SA Monterey Waste Water District Tariff Area ACTIVE SEWER SERVICE Sheet 3	305-S
319-S	Schedule SP Monterey Waste Water District Tariff Area PASSIVE SEWER SERVICE Sheet 1	307-S
320-S	Schedule No. WW-CAP All Wastewater Services CUSTOMER ASSISTANCE PROGRAM Sheet 1	
321-S	Schedule No. WW-FEES Wastewater Service LATE PAYMENT FEES, RECONNECTION FEES, AND FACILITIES FEES Sheet 1	310-S
322-S	TABLE OF CONTENTS Sheet 1	316-S
DELETE	Schedule No. WW-CAP Monterey County Sewer Service CUSTOMER ASSISTANCE PROGRAM Sheet 1	311-S

Schedule SA  
 Monterey Waste Water District Tariff Area  
ACTIVE SEWER SERVICE

Sheet 1

**APPLICABILITY**

Applicable to all Active Sewer Services.

**TERRITORY**

The systems at Las Palmas, Pasadera, Carmel Valley Ranch and Indian Springs

**RATES**

<u>Las Palmas:</u>	<u>Per Service Per Month</u>	(I)	
Service Charge Residential.....	\$127.66		
Service Charge for School (5 EDUs) .....	\$638.32		
Service Charge for Small Office Park (6 EDUs) .....	\$766.01		
Service Charge for Golf Course (4 EDUs) .....	\$510.68		
 <u>Pasadera:</u>			
Service Charge Residential.....	\$127.66		
 <u>Carmel Valley Ranch:</u>			
Service Charge Residential.....	\$127.66		
Service Charge for Hotel (144 EDUs) .....	\$18,384.21		
 <u>Indian Springs:</u>			
Service Charge for Residential and Small Commercial .....	\$127.66	(I)	

**SPECIAL CONDITIONS**

General Items:

1. Qualifying customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the Customer Assistance Program. For additional details, please see Tariff Schedule WW-CAP.
  
1. In accordance with Section 2714 of the Public Utilities Code and Commission Resolution No. 3976, if a tenant in a rental unit leaves owing the utility, service to subsequent tenants in that unit will, at the Utility's option, be furnished on the account of the landlord or property owner.

Fees and Surcharges:

1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
  
2. A late charge will be imposed per Schedule WW-FEES.
  
2. A surcharge for the Customer Assistance Program (CAP) program is applied to each California American Water customer bill. Please refer to tariff WW-FEES for charges and details.
  
3. Per Advice letter 69-S, the under-collected balance in the Monterey Wastewater Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.3534 over 24 months beginning March 4, 2022. (C)  
(C)

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 69-S	J. T. LINAM	Date Filed <u>01/18/2022</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>03/04/2022</u>
		Resolution _____



Schedule SA  
Monterey Waste Water District Tariff Area  
ACTIVE SEWER SERVICE

Sheet 3

**APPLICABILITY**

Applicable to the Society for the Prevention of Cruelty to Animals for Monterey County, Inc. ("SPCA") Sewer Service in the Pasadera area.

**TERRITORY**

The point of service connection between California American Water and SPCA in the Pasadera area.

**RATES**

<u>Contracted Property:</u>	<u>Service Charge per Month</u>	
SPCA (25 EDUs).....	\$3,191.47	(I)

**SPECIAL CONDITIONS**

General Items:

1. Qualifying customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the Customer Assistance Program. For additional details, please see Tariff Schedule WW-CAP.
2. In accordance with Section 2714 of the Public Utilities Code and Commission Resolution No. 3976, if a tenant in a rental unit leaves owing the utility, service to subsequent tenants in that unit will, at the Utility's option, be furnished on the account of the landlord or property owner.

Fees and Surcharges:

3. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
4. A late charge will be imposed per Schedule WW-FEES.
5. A surcharge for the Customer Assistance Program (CAP) program is applied to each California American Water customer bill. Please refer to tariff WW-FEES for charges and details.
6. Per Advice Letter 69-S, the under-collected balance in the Monterey Wastewater Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.3534 over 24 months beginning March 4, 2022. (C)  
(C)

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 69-S	J. T. LINAM	Date Filed <u>01/18/2022</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>03/04/2022</u>
		Resolution _____

Schedule SP  
Monterey Waste Water District Tariff Area  
PASSIVE SEWER SERVICE

Sheet 1

**APPLICABILITY**

Applicable to all Passive Sewer Services.

**TERRITORY**

The systems at White Oaks, Spreckels, Village Green and Oak Hills

**RATES**

<u>Oak Hills:</u>		<u>Per Service Per Month</u>
Service Charge for Residential and Small Commercial .....		\$84.22

(I)

<u>Spreckels:</u>		
Service Charge for Residential and Small Commercial .....		\$84.22
Service Charge for School .....		\$126.31
Service Charge for Large Commercial (4 or more Employees) .....		\$168.42
Service Charge for Industrial .....		\$505.28

<u>White Oaks:</u>		<u>Per Service Per Month</u>
Service Charge for Residential .....		\$84.22

<u>Village Green:</u>		
Service Charge for Residential .....		\$84.22

(I)

**SPECIAL CONDITIONS**

General Items

1. Qualifying customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the Customer Assistance Program. For additional details, please see Tariff Schedule WW-CAP.
2. In accordance with Section 2714 of the Public Utilities Code and Commission Resolution No. 3976, if a tenant in a rental unit leaves owing the utility, service to subsequent tenants in that unit will, at the Utility's option, be furnished on the account of the landlord or property owner.

Fees and Surcharges

1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
2. A late charge will be imposed per Schedule WW-FEES.
3. A surcharge for the Customer Assistance Program (CAP) program is applied to each California American Water customer bill. Please refer to tariff WW-FEES for charges and details.
4. Per Advice Letter 69-S, the under-collected balance in the Monterey Wastewater Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.3534 over 24 months beginning March 4, 2022.

(C)  
(C)  
(C)

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 69-S

J. T. LINAM

Date Filed 01/18/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 03/04/2022

Resolution \_\_\_\_\_

655 W. Broadway, Suite 1410  
San Diego, CA 92101

Schedule No. WW-CAP  
All Wastewater Services  
CUSTOMER ASSISTANCE PROGRAM

Sheet 1

**APPLICABILITY**

Applicable to Monterey County - Active and Passive Sewer Services. (T)

**TERRITORY**

The Monterey County Systems of Las Palmas, Pasadera, Carmel Valley Ranch, Indian Springs, White Oaks, Spreckels, Village Greens, and Oak Hills. (T)

**RATES**

**Active Sewer Service**

<u>Las Palmas:</u>	<u>Per Service Per Month</u>	
Service Charge Residential.....	\$82.98	(I)
<u>Pasadera:</u>		
Service Charge Residential.....	\$82.98	
<u>Carmel Valley Ranch:</u>		
Service Charge Residential.....	\$82.98	
<u>Indian Springs:</u>		
Service Charge for Residential .....	\$82.98	(I)

**Passive Sewer Service**

<u>Oak Hills:</u>	<u>Per Service Per Month</u>	
Service Charge for Residential.....	\$67.38	(I)
<u>Spreckels:</u>		
Service Charge for Residential .....	\$67.38	
<u>White Oaks:</u>		
Service Charge for Residential .....	\$67.38	
<u>Village Greens:</u>		
Service Charge for Residential.....	\$67.38	(I)

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(TO BE INSERTED BY UTILITY)  
Advice 69-S  
Decision

ISSUED BY  
J. T. LINAM  
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)  
Date Filed 01/18/2022  
Effective 03/04/2022  
Resolution \_\_\_\_\_



**TABLE OF CONTENTS**

Sheet 1

The following listed tariff sheets contain all effective rates and rules affecting the charges and service of the utility, together with other pertinent information:

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Schedule No. WW-CAP	320-S	(D), (N)
Schedule No. WW-DU	309-S, 301-S	
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	55-S	

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 69-S

J. T. LINAM

Date Filed 01/18/2022

Decision

DIRECTOR - Rates & Regulatory

Effective 03/04/2022

Resolution \_\_\_\_\_

**MONTEREY WASTEWATER DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 69-S**

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**BY MAIL:**

Joe Lucido  
25417 Boots Road  
Monterey, CA 93940

Los Angeles Docket Office  
California Public Utilities Commission  
320 West 4th Street, Suite 500  
Los Angeles, CA 90013

Alco Water Service  
249 Williams Road  
Salinas, CA 93901

Monterey Regional Water Pollution  
Control Agency (MRWPCA)  
5 Harris Court Road. Bldg D.  
Monterey, CA 93940

Monterey Peninsula Water Mgmt Dist.  
Chief Financial Officer  
P.O. Box 85  
Monterey, CA 93942

Yazdan Emrani, P.E.  
Deputy Pub Works Director – Operations  
Monterey County DPW  
168 W. Alisal Street, 2nd floor  
Salinas, CA 93901-2680

City of Pacific Grove  
c/o Community Development Department  
Attention: Sarah Hardgrave  
300 Forest Ave., 2nd floor  
Pacific Grove, CA 93950

City of Pacific Grove  
City Attorney/City Hall  
300 Forest Ave 2nd floor  
Pacific Grove, CA 93950

Ann Camel  
City Clerk  
City of Salinas  
200 Lincoln Avenue  
Salinas, CA 93901

City of Sand City  
City Hall  
California & Sylvan Avenues  
Sand City, CA 93955  
Attn: City Clerk

Deborah Mall, City Attorney  
City of Monterey  
512 Pierce Street  
Monterey, CA 93940

Karen Crouch  
City Clerk,  
Carmel-By-The-Sea  
PO Box CC  
Carmel-by-the-Sea, CA 93921

Darryl D. Kenyon  
Monterey Commercial Property Owners  
Association  
P.O. Box 1953  
Monterey, CA 93942

Irvin L. Grant  
Deputy County Counsel  
County of Monterey  
168 W. Alisal Street, 3rd floor  
Salinas, CA 93901-2680

Marc J. Del Piero  
4062 El Bosque Drive  
Pebble Beach, CA 93953-3011

Vibeke Norgaard  
City Attorney of Sand City  
P.O. Box 183  
Carmel, CA 93921

**MONTEREY WASTEWATER DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 69-S**

**By E-MAIL:**

Richard Rauschmeier  
California Public Utilities Commission  
DRA - Water Branch, Rm 4209  
505 Van Ness Ave  
San Francisco, CA 94102  
rra@cpuc.ca.gov

City of Del Rey Oaks  
City Hall  
650 Canyon Del Rey Road  
Del Rey Oaks, CA 93940  
Attn: City Clerk  
citymanager@delreyoaks.org  
kminami@delreyoaks.org

City of Seaside, City Hall  
Attn: City Clerk  
Seaside, CA  
[dhodgson@ci.seaside.ca.us](mailto:dhodgson@ci.seaside.ca.us)  
to'halloran@ci.seaside.ca.us

David C. Laredo and Fran Farina  
DeLay & Laredo  
606 Forest Ave  
Pacific Grove, CA 93950  
[dave@laredolaw.net](mailto:dave@laredolaw.net)  
[fran@laredolaw.net](mailto:fran@laredolaw.net)

Jon Giffen  
City Attorney  
City of Carmel-By-The-Sea  
P.O. Box 805  
Carmel-By-The-Sea, CA 93921  
[jgiffen@kaglaw.net](mailto:jgiffen@kaglaw.net)

Brent Reitz  
Capital Services  
P.O. Box 1767  
Pebble Beach CA 93953  
reitzb@pebblebeach.com

Monterey Peninsula Water Mgmt Dist.  
Chief Financial Officer  
P.O. Box 85  
Monterey, CA 93942  
suresh@mpwmd.net

Ms. Lisa Bilir  
California Public Utilities Commission  
Division of Ratepayer Advocates  
505 Van Ness Avenue  
San Francisco, CA 94102

Bernardo R. Garcia  
PO Box 37  
San Clemente, CA 92674-0037  
uwua@redhabanero.com

George Riley  
Citizens for Public Water  
1198 Castro Road  
Monterey, CA 91940  
georgetriley@gmail.com

Mike Niccum  
General Manager  
Pebble Beach Community Services District  
3101 Forest Lake Road  
Pebble Beach, CA 93953  
mniccum@pbcsd.org

Carmel Area Wastewater District  
3945 Rio Road  
Carmel, CA 93923  
buikema@cawd.org

Lloyd Lowery Jr.  
Noland, Hammerly, Etienne & Hoss P.C.  
333 Salinas St  
PO Box 2510  
Salinas, CA 93902-2510  
lloyre@nheh.com

David Heuck  
Accounting  
2700 17 Mile Drive  
Pebble Beach, CA 93953  
heuckd@pebblebeach.com

Arlene Tavani  
Monterey Peninsula Water Mgmt Dist.  
Executive Assistant  
arlene@mpwmd.net

Division of Ratepayer Advocates  
California Public Utilities Commission  
dra\_water\_al@cpuc.ca.gov

Laura L. Krannawitter  
California Public Utilities Commission  
Executive Division, Rm 5303  
505 Van Ness Avenue  
San Francisco, CA 94102  
llk@cpuc.ca.gov

Jim Heisinger  
P.O. Box 5427  
Carmel, CA 93921  
hbm@carmellaw.com

City of Monterey  
City Hall  
Monterey, CA 93940  
Attn: City Clerk  
connolly@ci.monterey.ca.us

Gail T. Borkowski, Clerk of the Board  
County of Monterey  
P.O. Box 1728  
Salinas, CA 93902  
boydap@co.monterey.ca.us

City of Salinas  
Vanessa W. Vallarta – City Attorney  
200 Lincoln Avenue  
Salinas, CA 93901  
vanessav@ci.salinas.ca.us  
chrisc@ci.salinas.ca.us

John K. Hawks  
Executive Director  
California Water Association  
601 Van Ness Avenue, Suite 2047  
San Francisco, CA 94102-3200  
jhawks\_cwa@comcast.net

Sheri Damon  
City of Seaside, City Attorney  
440 Harcourt Avenue  
Seaside, CA 93955  
[cityatty@ix.netcom.com](mailto:cityatty@ix.netcom.com)